



TYPICAL challenges

faced by the HNWI/Family Offices

High commissions & custody fees for fixed income & equity trading for global markets

Poor Street coverage for small & midcap equity universe as well as corporate credit

Deficit of timely fetched “buy side” investable ideas

Brainstorming & getting feedback of own trading calls with the experienced asset management team

Getting timely access and action driven read on bulge bracket research and combine it with easy to digest “buyside” trading notes

Our proposal



Orchestrate best of the best boutique solutions for the affluent asset holders to serve on/structure their private equity calls and provide state of the art management services to their liquid assets portfolio.



Proprietary platform to access global equity & fixed-income market universe with the lowest institutional fee schedule and direct electronic order placement capabilities along with the direct order execution through a broker.



Access to proprietary fundamentally driven trading ideas along with the timely advisory as per suitability to the client's individual investment style and risk profile.



Generate ad hoc individual advise on:

- Portfolio Asset Allocation,
- Client Trading Ideas Audit,
- Portfolio Rebalancing,
- Multi-jurisdiction assets structuring,
- KYC/Compliance clearance/onboarding.



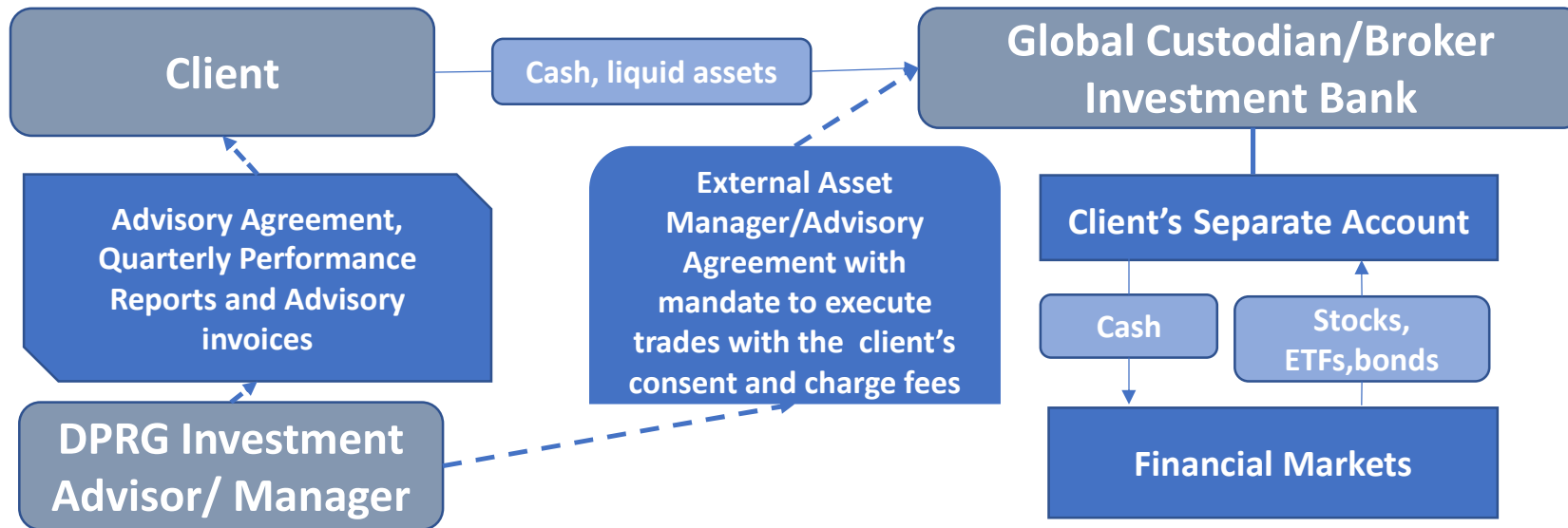
Solid global custody infrastructure to safeguard clients' assets and segregate from the firms own funds at lowest possible holdings/legal costs.



Align goals and partner with the client on our own fee structure:

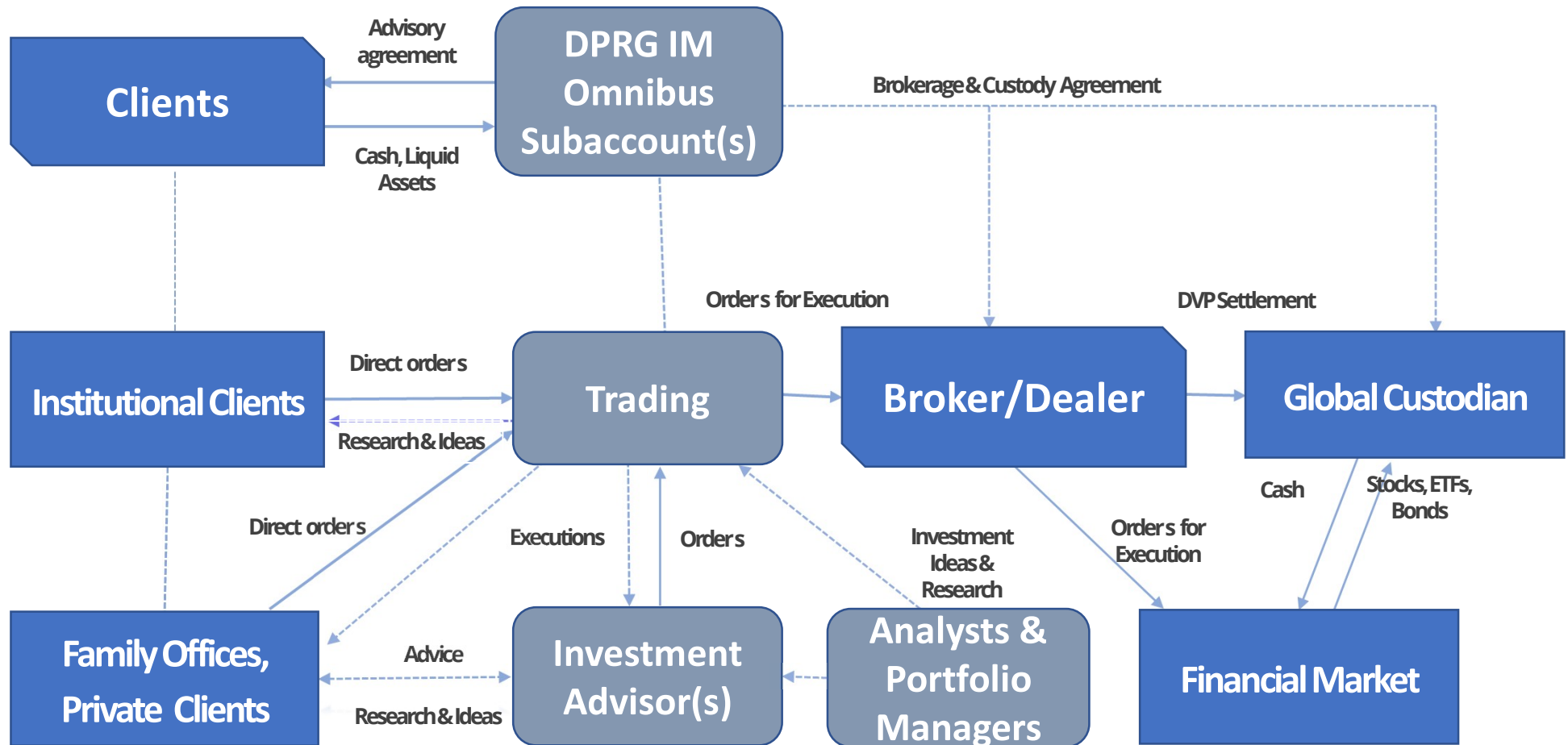
- Wrap fee for all sort of advisory services,
- No imbedded third party/hidden fees,
- Aim to grow assets under management though diligent risk-weighted investment approach for both current income and long-term appreciation.

Separate Account Advisory Service



- DPRG advises on client's external portfolio (with no right to withdraw funds) in line with the investment policy and risk tolerance formulated in the advisory agreement with the client. Management results are reported on the quarterly basis through investment newsletter and client one-on-one meeting.
- Global custodian/Bank approves DPRG as external asset manager/advisor, administers trades execution with the client consent or direct client execution, and reports directly to the client on the investment account balances and related matters.
- DPRG never takes possession of the client funds/assets and has no right to execute any payments from the client account. Management fees are administered by Global custodian in accordance with the external asset manager/advisory agreement.

Advisory & Brokerage Diagram



Omnibus Advisory & Brokerage



- DPRG omnibus accounts permits to host client's assets under DPRG IM name in the segregated subaccount fully serviced by the Global Custodian with the DPRG IM own funds and other client's funds separated at the custody level. That practice ensures that client's monies never mixed with other funds run by the firm.
- DPRG electronic platform allows full client access to the account transactions and service requests for wire in and wire out.
- DPRG Institutional and Private professional clients:
 - ✓ have the option to either place direct orders to the market via the electronic platform or the firm's trading desk via approved channels of communication;
 - ✓ may access firm's proprietary investment/trading ideas via firm's traders or investment advisors as well as through electronic distribution of analytical desk notes;
 - ✓ may check upon their own ideas' viability and get a portfolio management team's professional opinion in real-time during the market hours;
 - ✓ may receive and execution advice as per liquidity and trading pattern of the particular instrument;
 - ✓ may instruct firm's trading desk to allocated trades through a preferred broker/dealer to minimize execution costs ;
 - ✓ have full discretion over own orders and trades with risk/suitability assessed by the firm;

Commissions and Fees



We align our interest with the client investment objectives by benefiting only when our client makes money:

- All inclusive advisory wrap fee:
 - ✓ <\$5mIn. AUM ~ 1.0%
 - ✓ >\$5mIn. - < \$15mIn. AUM ~ 0.75%
 - ✓ >\$15mIn. - < \$50mIn. AUM ~ 0.5%
 - ✓ <\$50mIn. AUM ~ negotiated with the cap fee rate available
- Institutional brokerage rates passed on to the client at cost.
- No hidden/third party “service” fees.
- Minimal KYC/onboarding fees.

Contacts



Cyprus office

Evagorou, 27
Megaro Irene, Office 44
1066 Nicosia, Cyprus

+357 22 22 00 10

info@dprginvestment.com

www.dprginvestment.com

Vladimir Novikov

Chief Executive Officer

vn@dprginvestment.com

Mikhail Semenov, CFA

Co-founder & Chief Investment Officer

ms@dprginvestment.com

Stavrina Constantinou

AML & Compliance Officer

sc@dprginvestment.com

Boris Ginsburg

Chief Operating Officer

bg@dprginvestment.com

Sergey Malygin, CFA

Head of Strategic & Investment Research

sm@dprginvestment.com