



LIST OF REQUIRED DOCUMENTATION – LEGAL ENTITY

This document outlines the standard documentation required for the onboarding of legal entities as clients of DPRG IM Ltd. The list may vary depending on the client's jurisdiction, legal form, regulatory status, and classification (Per Se or Elective Professional Client). Additional documents may be requested on a case-by-case basis.

1. Identification and Corporate Documentation

- Certificate of Incorporation (or equivalent document as per jurisdiction)
- Certificate of Registered Office
- Certificate of Directors and Secretary (or equivalent official document)
- Certificate of Shareholders / UBOs
- Memorandum and Articles of Association
- Certificate of Good Standing (if applicable)
- Recent Utility Bill or other address verification document

2. Authorisation and Representation

- Board Resolution authorising account opening and appointing authorised signatories
- Identification documents (Passport/ID) of Directors, UBOs, and Authorised Signatories

3. Financial Profile and Suitability Assessment

- Completed KYC Questionnaire
- Completed Suitability Test form (if applicable)
- Latest Audited Financial Statements or proof of financial standing
- Source of Funds and Source of Wealth Declaration

4. Regulatory & Tax Information

- Legal Entity Identifier (LEI)
- FATCA/CRS Declarations (if applicable)
- Tax Identification Numbers (TINs) of all UBOs

5. Compliance Declarations

- Signed Client Acknowledgements Form
- Professional Client Acknowledgements Form (if applicable)
- Client Classification Form
- Appropriateness Form (If applicable)

6. Company Structure and Beneficial Ownership

- Group Structure / Ownership Structure Chart showing direct and indirect UBOs
- Declaration of UBO(s) including full details
- Additional documentation for intermediary entities may be required